CABINET (LOCAL DEVELOPMENT FRAMEWORK) COMMITTEE

10 NOVEMBER 2011

SUSTAINABLE DEVELOPMENT GUIDANCE DOCUMENT FOR PLANNING APPLICATIONS

REPORT OF HEAD OF STRATEGIC PLANNING

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RECENT REFERENCES:

PHD337 – Detailed Change Plan 2011/12 High Quality Environment – 13 April 2011

<u>CAB 2064(LDF)</u> Winchester District Development Framework – Adoption of Interim Policy Aspirations – January 2011

<u>CAB 1983(LDF)</u> - Winchester District Development Framework – Core Strategy Preferred Option - Feedback on Consultation (Chapters 7-16) – 12 March 2010

<u>CAB 1823 (LDF)</u> – Winchester District Development Framework – Recommended Core Strategy Preferred Option Document– 25 March 2009

EXECUTIVE SUMMARY:

The Council's High Quality Environment Change Plan includes an action to develop a Sustainable Buildings Guidance document and this has now been drafted. The Guidance provides information that will assist applicants, developers, householders and officers in the progression towards higher levels of energy efficiency and carbon reduction in development schemes in the District. This report seeks the Committee's approval for the publication of this Guidance.

RECOMMENDATIONS:

- 1 That the Committee note the content of the document attached at Appendix 1 and agree to its publication;
- 2 That authority be delegated to the Head of Strategic Planning to make any minor editorial changes necessary, prior to publication, in consultation with the Portfolio Holder for Planning and Enforcement.
- 3 That the Committee expresses its thanks to the members of the working group, for their contribution to the development of the Sustainable Buildings Guidance for Planning Applications

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DETAIL:

- 1 <u>Introduction</u>
- 1.1 The need for urgent action to tackle the high carbon footprint of the District in the absence of a relevant adopted Local Plan policy, led to the development of the Council's Interim Policy Aspiration on Sustainable Development. That policy aspiration was adopted by the Council in January 2011 (CAB2064 (LDF) refers). Meetings with the then Portfolio Holder for High Quality Environment, WinACC and Heads of Teams/Assistant Director during January 2011 established the need for a guidance document to help people understand the requirements of the Interim Policy and to help move towards higher standards of energy efficiency. A working group was set up to progress this guidance.
- 1.2 The project is included as action HQE/001.9 in the High Quality Environment Change Plan (PHD 337 2011 refers) for delivery by 31 December 2011 and is referenced in relevant Departmental Business Plans. The proposed guidance is attached to this report as Appendix 1. The rest of this report describes the development of the guidance via the working group and its contents. It is recommended that the Committee agree the guidance for publication. As the guidance provides informal advice and is not a Supplementary Planning Document (SPD), it is not considered necessary to undertake formal consultation on its contents. The reasons for this are described more in paragraph 2.2 and Section 4 below.

2 Background

- 2.1 A working group was set up to progress the guidance at the end of January 2011. The group consisted of officers of the Council, together with representatives from the development industry and WinACC. The group was chaired by the Assistant Director for High Quality Environment and the work was led by the Head of Strategic Planning.
- 2.2 The group held a number of meetings to discuss the content and status of the guidance. One of the conclusions of the group was that it should not be adopted as SPD in the absence of an adopted Local Plan policy or a finalised Core Strategy policy on the subject. It should therefore be a more generalised guidance document giving examples of methods that could be adopted in order to encourage developments to achieve higher levels of the

Code for Sustainable Homes (CSH) and reductions in carbon emissions, and to assist in the achievement of the Interim Policy Aspirations.

- 2.3 The working group considered that there is a complex and changing situation regarding the nationally agreed standards of the CSH, BREEAM and evolving zero carbon policy. There have been considerable changes in national policy and ongoing consultations on further changes throughout 2011. It was therefore felt useful to explain these changes and their possible implications in the Guidance.
- 2.4 Whilst this Guidance has been developed, work is also being progressed on the Core Strategy policies in relation to sustainable buildings and renewable energy. A focus group discussion was held on these policies in September. Members of the working group attended the discussion along with additional representatives from the development industry. The discussion has informed the sustainable development Guidance as well as the emerging Core Strategy policies (due to be presented to the next meeting of this Committee).
- 2.5 The working group has considered and agreed the final version of this guidance at a meeting on 31 October 2011.
- 3 Content of the Guidance
- 3.1 The guidance begins by clearly setting out the purpose and status of the document, which is to help and encourage applicants for planning permission to achieve higher levels of sustainable building construction. The planning policy background is outlined and set out in more detail in an appendix. This includes the South East Plan (SEP) which has a number of detailed and challenging requirements regarding sustainable development and actions which local authorities were expected to progress. The Partnership for Urban South Hampshire (PUSH) has also produced guidelines which were developed from the SEP, but these are not applicable to the whole of Winchester District and are very detailed. However, the appended Guidance follows the lead established in these documents.
- 3.2 The government has published a timetable for the abolition of the SEP, so national planning policies and any changes made by the National Planning Policy Framework become more relevant, although it is considered that the PUSH guidelines will still be relevant. The abolition of the SEP makes it more important for the Council to adopt its own policies in relation to sustainable development through the Core Strategy and to develop guidance in advance of these.
- 3.3 Sections 3, 4, 5 and 6 of the Guidance all discuss the changing national standards of CfsH, BREEAM and definitions of zero carbon. There have been considerable developments in these areas over recent years and the situation is likely to change further before the implementation of the Zero Carbon standard in the Building Regulations in 2016. Section 7 of the guidance raises the issue of these further changes and explains the next steps that the Council will be taking in planning policy development.

- 3.4 Section 8 is the key part of the document that outlines various carbon reduction measures that could be implemented. There is a preferred hierarchy of measures, using the 'fabric first' principle, followed by on-site measures for carbon reduction then utilising off-site measures. However, Section 8 makes it clear that there may be situations where measures further down the hierarchy may in fact be preferable to those higher up, in terms of their overall benefits and possibility in terms of viability. This will need to be assessed for each scheme.
- 3.5 Section 8 contains references to the costs of various measures, which have been taken from reliable sources such as the Energy Savings Trust and the studies undertaken as part of the Core Strategy evidence base – namely the Renewable Energy Study (ESD 2009) and the Low Carbon Planning Policy Viability Study (Element Energy 2010). However, the costs may vary with different types of scheme, and with changing government incentives and the cost of sustainability 'hardware' is also expected to fall as it is more widely used.

4 <u>Status of the Guidance</u>

- 4.1 It is not possible for the document to be formally adopted as SPD, in the absence of an adopted 'parent' policy in the Local Plan (or as part of any adopted LDF document). Although the Interim Policy Aspiration has been adopted by the Council that remains a non-statutorily Aspiration and has not been subject to formal consultation and examination. Nevertheless, the Guidance makes it clear that the Council expects applicants to address its Interim Policy Aspirations and, if they cannot be met, to explain why. The measures in Section 8 of the document form the basis of a checklist, attached as Appendix 2 of the Guidance, which applicants are encouraged to use to help achieve this.
- 4.2 The drafting of Core Strategy policies that will cover sustainable development in buildings is progressing and the next stage of the Core Strategy will be reported to the next meeting of this Committee. However, the Core Strategy will not be adopted until the end of 2012. Following this, it would be possible for SPD to be produced on sustainable buildings, but this would not be until 2013 at the earliest. It is therefore considered important to publish this Guidance to assist people in moving to higher levels of energy efficiency, especially applicants for planning permission, in advance of Core Strategy policies and detailed policy requirements being adopted.

5 Next Steps and Further Work

5.1 It is anticipated that the Guidance could be published immediately, following approval by this Committee and any minor editing changes required. The Guidance could therefore be used by applicants, officers and the general public before the end of the year. The document will be available on the Council's website and its availability could be featured in the next LDF newsletter. WinACC will also be able to publicise the document, as they formed an important part of the working group.

5.2 The Council is developing new policies on sustainable buildings and the promotion of renewable energy schemes as part of the Core Strategy, which will build on the information contained in this guidance. The next stage of the Core Strategy should be approved by the end of 2011, although not programmed to be statutorily adopted until the end of 2012. Following its adoption, it may be necessary to have further policies on this subject as part of the Development Management DPD and the question of whether a formal SPD should be developed could be revisited at that time.

OTHER CONSIDERATIONS:

- 6 <u>SUSTAINABLE COMMUNITY STRATEGY AND CHANGE PLANS</u> (RELEVANCE TO):
- 6.1 The Sustainable Buildings Guidance links directly to the 'Reducing the District's Carbon Emissions' priority in the Sustainable Community Strategy and the WDSP work identified within it.
- 6.2 The development of supplementary planning guidance on sustainable development by the end of 2011 is identified as Action HQE/001.9 of the High Quality Environment Change Plan.

7 <u>RESOURCE IMPLICATIONS</u>:

7.1 The guidance has been produced following actions identified in the High Quality Environment Change Plan and relevant Departmental Plans. Departmental budgets have therefore already contributed to its development; largely through the use of officer time. There would be little additional cost to the Council of publishing the guidance, particularly if paper copies are only produced as and when necessary.

8 RISK MANAGEMENT ISSUES

8.1 The Guidance amplifies the Council's 'Interim Policy Aspirations' on Sustainable Development and should provide a useful tool in the implementation of that policy and the development of future policies. The Guidance indicates the direction of travel of the Council in relation to sustainable buildings when taken together with the Interim Policy Aspirations, and may be given some (limited) weight by Inspectors at Appeals. It is considered useful in view of the lack of an adopted and up-to-date Local Plan policy on this issue. The risk is therefore that its absence may potentially weaken the Council's position on this issue.

BACKGROUND DOCUMENTS:

None.

APPENDICES:

Appendix 1: Sustainable Buildings Guidance for Planning Applications

WINCHESTER CITY COUNCIL

SUSTAINABLE BUILDINGS GUIDANCE for PLANNING APPLICATIONS-

November 2011

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Appendix One – Interim Policy Aspiration

Appendix Two – Checklist of Carbon Reduction Measures

Appendix Three – National, Regional and Sub-Regional Planning Policies

List of Abbreviations (available for final version)

Glossary (available for final version)

1 <u>Introduction</u>

- 1.1 The importance of tackling climate change is widely recognised. There are national targets and standards contained within planning guidance and the Code for Sustainable Homes (CSH) and Building Regulations, relating to the need to reduce carbon emissions, promote energy efficiency and renewable energy and reduce water use and flood risk.
- 1.2 However, the need for specific targets for the Winchester District has been recognised by the City Council. The Winchester District has one of the highest per capita carbon footprints in the South East 14.10 tonnes CO₂ per year compared to a South East average of 13.17 tonnes and the UK average of 12.10 tonnes (2006 REAP figures). The Winchester area has also been identified by the Environment Agency as an area of water stress. The Council's Climate Change Strategy "Live for the Future: Tackling Climate Change", has set a local target of a 30% CO₂ emissions reduction by 2015.
- 1.3 Planning policies are an important tool in achieving reductions in carbon emissions and water use. The emerging Core Strategy will outline the Council's strategy in relation to this. Given the extent of the carbon footprint of the District it is considered important that steps are taken to implement measures to reduce carbon emissions in advance of the Core Strategy policies being adopted. Accordingly, the Council adopted an Interim Policy Aspiration on Climate Change in January 2011. A copy of this aspiration is attached at Appendix 1.
- 1.4 There is a need for this guidance despite the increasing requirements in respect of the Code for Sustainable Homes and the Building Regulations. This is because the timetable and status of the changes are not clear and there is a need for urgent action. Building Regulations cover most aspects of carbon emissions, but only smallscale energy generation. Planning requirements can address wider issues relating to sustainability and also provide the mechanism which allows for offsetting contributions where developments could not otherwise meet the requirements for carbon reduction.
- 2 Purpose and status of this document
- 2.1 This guidance is intended to assist in moving development standards towards high levels of energy and water efficiency. The Council will expect applicants to show how they have addressed the aims of the Interim Policy Aspiration in their proposals. Section 8 describes various measures that can be employed to reduce energy and water use and carbon emissions in developments. A checklist has been developed using the main headings of the measures outlined in Section 8 and is attached at Appendix 2. It is suggested that applicants use this to consider the possibilities for utilising measures under these headings.

- 2.2 Applicants should be prepared to demonstrate why they have not achieved the aims of the Interim Policy Aspiration, if that is the case. If an applicant is proposing an offsetting contribution, this will need to be detailed and secured by means of a planning obligation.
- 2.3 This document should also be used as a resource containing information regarding national policy aspects relating to carbon reduction and outlines various targets and definitions that may be of assistance when considering carbon reduction measures. When the Core Strategy has been adopted, this guidance may be developed further into a Supplementary Planning Document to the relevant Core Strategy policy(ies). In the meantime, this guidance is intended as a tool to assist applicants in the development of their schemes and to help the Council in assessing the benefits of proposals.
- 2.4 This guidance and the Interim Policy Aspirations concentrate on measures that reduce the energy and water demand of buildings. It is recognised that there other important elements of sustainability, such as those relating to biodiversity and travel reduction. These elements would still form part of the consideration of a planning application, but are not covered within this document, which is primarily aimed at reducing carbon emissions. The Council has also expressed its general support for schemes that develop renewable and decentralised energy but again this is not discussed in depth in this guidance.
- 2.5 Work is continuing on refining the Core Strategy policies which will be adopted by the Council in due course. Following the adoption of the Core Strategy, it is possible that a Supplementary Planning Document (SPD) will be produced to assist in the implementation of the policy. Details of offsetting requirements will be included. This current guidance is likely to form the basis of a future SPD.
- 3 Planning Policy
- 3.1 There is a great deal of planning policy advice at the national and regional level in relation to sustainable buildings and carbon reduction. The main advice is currently contained within PPS1, PPS22, the South East Plan (SEP) and the Planning for Urban South Hampshire (PUSH) Sub-regional supplementary guidance.
- 3.2 The advice referred to in the paragraph above forms the current guidance which forms the background for the current statutory plan in Winchester (the SEP, and the WDLPR) and relevant local guidance (PUSH guidance) and the Interim Policy. The government is proposing major changes to the planning regime, which will alter this guidance. The government proposes that the NPPF will replace PPS guidance in due course and has put measures in place to abolish the SEP by April 2012.
- 3.3 In the interests of brevity, the main aspects of the current national, regional and sub-regional planning guidance are summarised in

Appendix 3. The Appendix also discusses how they have been taken into account in the development of the Interim Policy Aspiration and the evolving Core Strategy.

3.4 Winchester Policies

- 3.5 In May 2009 the Core Strategy Preferred Options document was published. This included policies CP13 Sustainable Low and Zero Carbon Built Development and CP14 Renewable and Decentralised Energy. Following this, the Council appointed Element Energy consultants to produce a Low Carbon Planning Policy Viability Study to consider the feasibility of achieving the goals of the Preferred Options policies. The study concluded that allowing for 30% of regulated carbon emissions to be mitigated off-site would give reasonable flexibility whilst retaining the viability of most developments at CfH level 5. The Study also concluded that higher levels of code requirements would be likely to generate at least 20% of energy on-site, which would negate the need for a particular target in respect of renewable energy generation. See Sections 5 and 6 of this Guidance for further explanation of regulated/un-regulated emissions and on/off-site mitigation.
- 3.6 The results of the Low Carbon study fed into the development of the Interim Policy Aspiration on Climate Change. This was agreed by Council in January 2011. A copy of the Interim Policy is attached as Appendix 1.
- 3.7 Work is continuing on further refining the climate change policies, with revised policies CP13 and CP14 expected to form part of the Core Strategy policies, which should be published in pre-submission form, by the end of 2011. The final version of CP13 will take into account developments since the Interim Policy, such as the discussions of an expert focus group, the findings of the Council's Housing Viability Study and ongoing national developments in low and zero carbon standards.
- 4 National Standards
- 4.1 **Carbon Reduction Budget**. The Climate Change Act 2008 created a legally binding target to reduce the UK's emissions of greenhouse gases (GHGs) to at least 80% below 1990 levels by 2050. In order to meet the target, carbon budgets have been set that place legally binding ceilings on the level of allowed UK emissions over five year periods. The government has recently agreed the fourth carbon budget which continues this commitment (May 2011). The government's advisory body the Committee for Climate Change suggests that in order to achieve this, GHG emissions should be cut by 1.5% per annum up to 2020, and then by 4.3% pa, rising to 4.7% pa by 2050.

4.2 Code for Sustainable Homes (CSH) and BREEAM

- 4.3 The Code for Sustainable Homes (CSH) and Building Research Establishment Environmental Assessment Method (BREEAM) are means of assessing the sustainability of developments. They are both run by the Building Research Establishment (BRE), although the CSH 'brand' is owned by the DCLG.
- 4.4 The Code for Sustainable Homes is a nationally recognised method of environmental assessment for new residential dwellings. A rating system of 1 to 6 stars is used, 1 star referring to the lowest level, and 6 stars reflecting exemplar development in terms of sustainability. The Code assesses sustainability performance, based on the following categories:

Energy, Water,

Materials.

Surface Water run-off,

Waste,

Pollution,

Health and Wellbeing,

Management,

Ecology

- 4.5 Scores are given for various components within the categories, which result in the overall scoring and the award of a level. Some elements are weighted higher than others, and some elements are compulsory to achieve a certain level of code rating. The code sets minimum standards for energy and water use at each level.
- 4.6 The Code was developed from the earlier BRE EcoHomes scheme. The Code is a government owned national standard for sustainable buildings and is referenced in many government publications, including PPS1 as referred to above. It is also linked to Building Regulations as explained below in paragraph 4.7.
- 4.7 BREEAM is a method of assessing the sustainability of buildings other than individual dwellings. There are different schemes for different types of buildings such as education, offices, industrial and so on. BREEAM assessment also gives scores for different elements within categories which are similar to those in the CSH. The combined scores result in a rating ranging from 'unclassified' to 'outstanding'. A comparison of the two systems is shown below:

CSH	Level 1	2	3	4	5	6
% CO ² DER ¹ reduction	10	18	25	44	100	Zero Carbon

from 2006 Building Regulations						
BREEAM	Pass	Good	Very Good	Excellent	Outstanding	

¹ DER = Dwelling Emission Rate

- 4.8 Building Regulations have links to the CSH. Since 2010, applications under Part L of the Building Regulations (conservation of fuel and power) must reach the CO₂ emissions requirements set out in Code Level 3 of the CSH. That represents a 25% reduction in the CO2 Dwelling Emission Rate (DER) requirement of the 2006 Building Regulations. This is proposed to increase to CSH Level 4 (DER -44%) in 2013 and Level 6 (Zero Carbon) by 2016.
- 4.9 It is clear from the above that there are strong links between the CSH, BREEAM and Building Regulations and planning guidance. It should be noted that the Council's Policy Aspirations are only concerned with the energy and water-related elements of the CSH and BREEAM.
- 5 Zero Carbon
- 5.1 Proposed changes to the Building Regulations would require all new buildings to be zero carbon by 2016. However, the definition of zero carbon has evolved from the definition outlined in the CSH. The changing definitions are discussed in further detail below.
- 5.2 **Level 6 Carbon Compliance.** Level 6 of the CSH required all CO₂ emissions from a building to be eliminated or mitigated in some manner on the site, by means of energy efficiency and low and zero carbon technologies, as illustrated in Diagram 1 below. It is recognised that this is very difficult to achieve and only a very few exemplar buildings have yet been built to this standard.



Diagram 1: Level 6 Carbon Compliance

Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

- 5.3 The Zero Carbon Hub (ZCH) was launched in June 2008 and the government has given the Hub a lead responsibility for delivering homes to zero carbon standards by 2016. The Hub looked into ways of delivering zero carbon and in mid 2008 concluded that it would be impractical to achieve zero carbon on many sites using the CfH Level 6 definition, which requires elimination of all emissions on the site itself.
- 5.4 **ZCH Carbon Compliance/Allowable Solutions.** During mid 2008, the ZCH considered that much of the carbon emissions of a building can be eliminated by ensuring an energy efficient approach to building design, which minimises the need for energy generation in a property. Some of the CO₂ emissions remaining following this process can be also reduced through on-site low and zero carbon technologies and connected heat networks. These two measures taken together are referred to as Carbon Compliance. However, that would not mitigate all of the emissions, so it was considered the remaining carbon emissions could be mitigated by means of what are termed Allowable Solutions, which secure carbon savings away from the site Diagram 2 below illustrates this and Section 6 provides further information on Allowable Solutions.

Diagram 2: ZCH Carbon Compliance/Allowable Solutions 2008



Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

5.5 **Carbon Compliance level identified.** Following initial consultation, it was concluded in mid-2009 by the Hub and government that 70% of regulated emissions could be mitigated on-site as Carbon Compliance and that the remaining CO₂ emissions (both the remaining regulated and all un-regulated emissions) could be mitigated by means of allowable solutions.

Diagram 3: Carbon Compliance/Allowable Solutions 2009



Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

5.6 **ZCH February 2011**. Further studies by the ZCH and stakeholder discussions resulted in another revised recommendation for the level of carbon compliance. The ZCH report 'Carbon Compliance: Setting an Appropriate Limit for Zero Carbon New Homes' concluded that 70% would be unviable on many sites and instead proposed a variety of levels, depending on the type of dwelling being built. They also recommend changes to using 'as built' performance (currently calculated on designed performance) and that carbon compliance limits should be set in terms of amounts of CO² emissions permitted per year, rather than % improvements on the 2006 DER. This is illustrated below:

Diagram 4: ZCH 2011 Carbon Compliance/Allowable Solutions



Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

- 5.7 Their recommendations would result in the following limits
 - 10 kg CO²/m²/year for detached houses
 - 11 kg CO²/m²/year for attached houses
 - 14 kg CO²/m²/year for low rise apartments (up to and including 4 storeys)
- 5.8 Although not strictly comparable to the previous method, this would result in the following % improvements on the 2006 standard
 - 60% for detached houses
 - 56% for attached houses
 - 44% for low rise apartment blocks

See table at 4.6 above for a comparison with the existing requirements.

5.9 **Budget Announcement - March 2011**. The government announced as part of its budget statement that the Zero Carbon Hub's recommendations would form the basis of consultations on changes to the Building Regulations proposed for 2016. It was also confirmed that

only regulated emissions would be used when calculating the carbon compliance levels and that unregulated energy use emissions are no longer included at in the definition of zero carbon.

Diagram 5: Carbon Compliance/Allowable Solutions post 2011budget



Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

5.10 **Regulated/Unregulated Emissions.** Regulated emissions are CO₂ emissions that result from space heating, hot-water provision, fixed lighting and ventilation and are covered under Building Regulations. Unregulated emissions are those related to cooking and the use of plug-in appliances. The previous definition of zero carbon applicable from 2016, would have considered all sources of CO₂ emissions. The government announced in its 2011 Budget, that only regulated emissions would be used in the zero carbon calculations.

Diagram 6: Current agreed definition of zero carbon post 2011 budget



Source: Zero Carbon Hub 'Allowable Solutions for Tomorrow's New Homes' July 2011

6 <u>Allowable Solutions</u>

6.1 Allowable Solutions refers to the mitigation of any carbon emissions remaining following those reduced on-site, by contributions to approved carbon-saving measures. They are also referred to as off-setting measures. Allowable solutions could comprise a number of elements such as the development of energy-saving measures nearby to the site, the off-site provision of renewable energy generation, or a financial contribution to carbon reduction measures. Examples include physical or financial contribution to the development of CHP or a DHP system in the vicinity, a contribution to a carbon reduction fund for the retrofitting of insulation or other carbon reduction measures to existing buildings, or a contribution to the establishment of a non-profit ESCO, that would carry out carbon-saving initiatives.

- 6.2 When attempting to satisfy the high levels of energy reduction and water saving measures required by the Interim Policy, if it is not possible to achieve the required standards on-site, offsetting may be proposed by applicants in order to meet the policy aspirations. Offsetting could comprise a number of measures as outlined above.
- 6.3 The Council does not have a dedicated carbon offsetting fund. In the absence of such a fund, applicants will have to demonstrate how the off-site carbon reductions will be achieved and enter into an agreement to secure the savings. This would currently be secured by means of a planning obligation under S106 of the Planning Act.
- 7 <u>Future Developments</u>
- 7.1 The ZCH report 'Allowable Solutions for Tomorrow's New Homes' was published in July 2011. The report has a number of recommendations and possible methods for requiring and achieving allowable solutions. The report makes it clear that further investigation and debate is required on the possible methods, before a preferred approach can be agreed. Options include the local authority setting up a community energy fund, or the possibility of developers making private contracts with third party providers of Allowable Solutions. The report recommends that the government develops guidelines on the operations of an Allowable Solutions policy to clarify some of these issues.
- 7.2 In the light of the above, it is likely that further work will be required on the development of an Allowable Solutions policy to be part of carbon reductions policies by 2016. However, it is unclear at this stage what such a policy should contain. The role of local planning authorities regarding the description of approved Allowable Solutions and the collection and payment of any Allowable Solutions finance has not yet been determined.
- 7.3 The Council is currently considering the future roles of planning obligations, Community Infrastructure Levy (CIL) and possible carbon reduction fund/company, in light of Core Strategy discussions, viability and evolving government incentives and legislation.
- 8 <u>Carbon Reduction Measures</u>
- 8.1 The Section below provides example of various measures that can be undertaken to reduce carbon emissions, saving energy and water. The costs referred to are approximate and although they come from reputable sources, they are liable to change with changing economic

climate and financial incentives. The information was up-to-date in mid-2011. The government has recently announced changes to the FITs scheme which have not been included in the information.

- 8.2 The description of measures shows that there are many ways of achieving reductions in the CO₂ emissions of a building. Minimising the energy requirements of a building reduces the need for heating/cooling/lighting in the first place, and is likely to continue to do so over the life of the building. This in itself is therefore likely to lead to a great reduction in the projected CO² emission of a development and should be the priority measure. Building terraced developments as opposed to semi-detached or detached dwellings would in itself reduce the energy requirements of buildings by a considerable amount, even to the extent of making CHP more viable. Following the reduction of the energy requirement of buildings, various measures to provide zero and low carbon energy should be considered. Measures that use low carbon or renewable energy should be considered before looking at other methods of producing energy that may involve higher CO₂ emissions.
- 8.3 This approach should be applied flexibly, as the optimum solutions will tend to vary on a site-by-site basis. For example, maximising the benefits of passive heating, lighting and ventilation by design and layout of buildings may only be possible on certain sites and may conflict with the demands in conservation areas or aspirations for high density development, for example. Certain types of energy generation are much more efficient when blocks of residential are considered rather than individual units for example. The Low Carbon Viability Study (Element Energy 2010) indicates how different carbon reduction measures provide varying returns (in both monetary and carbon reduction values) in different forms of residential development.
- 8.4 There is particular concern about the benefits of greywater and blackwater recycling measures. Measures that achieve the highest levels of water savings can also involve intensive mechanical intervention which is not always cost effective and may in itself be carbon intensive. Grey and black water recycling is much more cost effective when units are considered as a group rather than installed on an individual basis.
- 8.5 It should be noted that although the council's aspirations aim for high levels of carbon reduction, the City Council will consider all aspects of a planning application when assessing proposals including viability, design issues and all elements of planning obligations that may be relevant.

:

Demand reduction measures

reducing requirement of artificial heating/cooling for CO₂ and monetary savings

Efficient Building Orientation

- Harnessing passive solar gains for natural heating and lighting
- Opportunities for solar gain are maximised by ensuring the orientation of the building is within 30° of south, erring towards south-east to make best use of early morning solar gain, and to minimise afternoon overheating.
- Deciduous trees can be strategically planted to provide shading during the summer and allow solar gain during winter.

Benefits:

• Reduced requirement for heating/cooling at no additional cost

Drawbacks:

- Cannot be retrofitted into an exiting building. Relies upon it being a new build project.
- Sometimes not practical or desirable due to site constraints and/or design considerations, such as on tight urban sites or in sensitive areas for design

Efficient internal layout of the building

Ensuring that the most frequently used rooms are located to the south side of the building will enable maximum benefit from passive solar gains.

Benefits:

 Reduced requirement for heating at no additional cost

Drawbacks:

 Cannot be easily retrofitted into an exiting building. Relies upon it being a new build project. Not always possible for historic and listed buildings. In some circumstances, overheating of



certain rooms will need to be factored into design.

	(Picture Source: City of Bradford Metropolitan District Council) Natural ventilation
•	Use of design to minimise the need for additional ventilation systems
•	Passive Stack Effect: using pressure differentials to draw cool, fresh air in from the outside.
•	Cross ventilation: openings on opposite or adjacent walls to draw air flow through a space, sometimes assisted by roof-mounted turbines
Benef	its:
•	Reduced requirement for artificial cooling systems, and reliance on harmful refrigerants. HRV systems provide fresh air and improved climate control whilst saving energy and costs of heating or cooling requirements.

Drawbacks:

• Can require additional mechanical ventilation to supplement natural ventilation.

Natural Lighting

- Harnessing of natural light through windows, skylights, sun pipes, atria and other design features in order to diminish the need for electric lighting.
- The amount of glazing must be balanced to avoid overheating through solar gain. More glazing on the northern elevation than the south elevation can help optimise daylight entry without excessive solar gain.

Benefits:

• Reduced requirement for artificial lighting.



• Helps provide a pleasant environment to live and work.

Drawbacks:

• Interior light levels dependant on outside light availability.

(picture source: PUSH document)



imbedded in the materials used)

Renewable Energy Technologies

reducing demand for unsustainable non-renewable energy resources



• A boiler will be required to top up hot water requirements during winter months

- Not ideal for education establishments due to this seasonal variation
- Contributes only a modest amount to overall energy requirements.

Costs/Viability:

- Typical costs range from £4,000 to £6,000 (EST buyers guide)
- Solar water heating systems typically provide over 1,000 kWh of hot water per year, saving around £50 per year in a home with gas water heating. This does not include RHI payments. (EST buyers guide)
- "There is a technical potential for 30% of all dwellings and 30% of all non-dwellings in the Winchester District to have solar PV / STHW." Based on available south, south-west and south-east facing roofs. (TP study)
- On-going financial support for small-scale renewable heating installations under The Renewable Heat Incentive (RHI) from end of September 2011 (EST).

Photo Voltaic panels

- Conversion of energy from the sun into electricity.
- PV panels are most commonly installed on south facing roofs in order to maximise exposure to sunlight.

Benefits:

- PV panels are silent, have no moving parts and tend to require low maintenance over long lifetimes.
- One of the few means of generating renewable electricity in dense urban areas. Useful on commercial developments as peak output coincides with daytime usage
- They are modular, so multiple sized systems are available.
- Integrated PVs on new build properties can offset some costs of roof construction
- A 2.2 kWp system will typically save around 1 tonne of CO₂ per year in the UK. (EST buyer guide)
- Greater CO₂ savings and monetary savings than solar water heating, but over a longer pay-back period
- Could meet around 40-60% of a home's electricity needs and save about 25% of its carbon emissions (PUSH Draft Sustainable Development SPD)

Drawbacks:

- Moderately high economic cost is realised over a relatively long period.
- Supply is constrained to the intensity and duration of sunlight. Limited electricity provided in winter. Not ideal for education establishments due to this seasonal variation

Roof mounted PV array



PV roof tile



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Local Deve

PV cladding

Viability in Winchester

- A typical 2.2 kWp domestic system will cost around £12,500, or £5,000 £7,600 per kWp installed and could generate savings and income of around £920. (Including FITs payments) (EST Buyers guide renewables).
- Technical potential for 30% of all buildings in Winchester to have PV/STHW (as above TP study)
- Feed-In Tariffs can make PV instalment a reasonable investment.

Examples of PV panelling (source: XCo2, as linked from PUSH doc)

Wind Power Conversion of wind into electricity using rotating blades to drive a generator. Two types of wind turbine: Horizontal and vertical axis wind turbines. Electricity generated from small turbines can either charge batteries or link to the arid. Turbines must be situated with adequate distance from buildings. **Benefits:** • Turbines are available in a range of sizes, down to 1kWh output. Drawbacks: Wind speed of over 3m per second is required. Intermittent wind strenath makes generation capacity fluctuate Low wind speeds and fluctuating speeds mitigate against small turbines in urban areas and sheltered locations. Small turbines do not have permitted development rights. • Turbines create visual impact and noise impact (particularly in the case of large turbines) Conventional roofs can often not support the dynamic loads of turbines, which may make retrofitting Small domestic wind turbine (source: CAT) difficult. Viability in Winchester:

- Large scale wind as a renewable resource has high potential in the Winchester District, particularly in the north of the district (TP study).
- For large urban extensions/strategic greenfield sites (2000 3000
- dwellings), the installation of medium to large-scale wind turbines is feasible. The cost of complying with Code Level 5 is estimated at an extra-over cost of £5k per dwelling. (VIABILITY STUDY)
- The technical potential for large scale wind in Winchester could provide 45% of the district's renewable electricity.(TP study)



- It is suggested that WCC and PUSH stimulate relationships between housing developers in the southern PUSH area and commercial wind companies developing energy production to the north of the district. (TP study)
- Small scale/micro wind turbines are considered unviable given the current poor performance of the technology. (VIABILITY STUDY)
- Eligible for Feed-In Tariffs payments.
- A 6kW turbine could generate income and savings of around £3,200. Includes FITs payment. (EST buyers guide)

Ground Sourced Heat Pumps

- Draws heat from about 2m under the earth's surface where there is a stable temperature of around 11-12°c) and stores it usually for
- domestic hot water usage or heating
 Two methods: A bore hole and a pipe driven vertically into the ground.

A trench system, where a coil of cable is laid out horizontally at shallow depth

and water is passed through to capture the heat.



Ground sourced heat pump (source: PUSH doc)

- Can be combined with radiators, but under floor heating is better as it works at a lower temperature. (EST)
- Cost of ground source heat pumps range from £9,000 to £17,000.

Benefits:

- Little visible impact on the landscape.
- Can save up to 5 tonnes CO₂ a year depending on what fuel is replaced. (EST BG)
- Covered under permitted development.

Drawbacks:

- Requires a detailed ground survey.
- Multi-storey buildings (those with more than four floors) are considered unsuitable for GSHP, based on the technical potential study, 2008.

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• Trench system is simper and cheaper, but requires large amounts of land

Viability in Winchester:

- "There is a technical potential for 50% of all dwellings and 50% of the floor area of all non-dwellings in the Winchester District to have GSHP. This is based on an estimate of buildings without sufficient space for a trench or borehole to accommodate a ground loop and where the ground material is unsuitable for digging." (TP study)
- On-going financial support for renewable heating installations under The Renewable Heat Incentive (RHI) is due to be introduced in September 2011 (EST).



Viability in Winchester:

• On-going financial support for renewable heating installations under The Renewable Heat Incentive (RHI) is due to be introduced in 2011 (EST).



Wood fuel heating system (source: Energy Savings Trust)

Benefits:

- Can save up to 9.5 tonnes **CO**₂ per year when replacing a coal fired system or electric storage heating
- Usually cheaper than electricity, LPG and sometimes oil
- Renewable replacement of coal, oil or gas for space heating.
- Unlike other renewables, wood can be stored and used when needed.

Drawbacks:

- Wood fuelled boilers are larger than conventional alternatives, and there is an additional space requirement for fuel storage.
- Planning permission may be required for flue vents or fuel stoves. In smoke control areas, exempt appliances must be installed. (EST)
- Not cheaper than mains gas though this may change with the introduction of the Renewable Heat Incentive
- More expensive than a conventional boiler to purchase
- Fuel transport emissions are not carbon neutral, but choosing a local supplier can minimise this.



- Similar in size and appearance to conventional boilers and easy to install
- Reduction in electricity bills for user

Drawbacks

- Initially expensive, most effective in buildings which have a high heat demand (ie in inefficient buildings)
- Fuels and methodology still evolving which may bring costs down.
- Can be a bit more noisy than conventional boiler

Costs/Viability:

- A Micro-CHP typically costs from £5,500 and can be installed instead of a conventional boiler which would cost around £2,500. (EST buyers guide)
- Eligible for Feed-in Tariffs

District Heating Systems

- Centralised boiler to provide heat to a number of buildings.
- Can be heat only or a combined heat and power (CHP)
- With the addition of a chiller unit, the system can be improved into a combined cooling, heat and power (CCHP) system.

Benefits:

• Use of a single boiler to heat a number of buildings has many economies of scale and allows for use of woodchips or other biofuels

Drawbacks:

• High heat density is required in order to secure CO₂ reductions over conventional heat supply, and the technology is therefore only suited to urban areas.

Viability in Winchester:

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- Use of CHP can increase efficiency by up to 3 times (Biomass Energy Centre)
- CHP or CCHP systems are viable when there is a constant demand for energy, and are therefore most suitable for area-wide or mixed use communities
- The technical potential for macro biomass CHP in Winchester could provide approximately 95% of the district's heat and power needs.(TP study)

Water Saving Technologies

reducing demand on water supply



flushing and watering gardens (non consumable).

• Rainwater harvesting: The capture of rainwater runoff to help meet onsite water requirements. Rainwater is often collected in water butts, but larger systems filter and store water in an underground tank.

Benefits:

- Savings from occupier's water bill
- Every year roofs collect on average 85,000 litres of water, which is enough to fill 450 water butts and provide free water.

Drawbacks:

- Not suitable for drinking, washing, cooking or food production.
- Mains system must also be available if rain is scarce.
- Rainwater harvesting is around 40% more carbon intensive than mains water, so should only be used after simpler more cost effective water saving measures have been implemented (Environment Agency, 2010).

Grey Water Harvesting (source: LowEnergyHouse.com)



Rain Water Harvesting (source: LowEnergyHouse.com)

Viability in Winchester:

• The extra-over costs of

installation of a rain/grey water recycling scheme and a water efficient washing machine in order to reduce water use to 80 litres/person day: Flat - £1,750

Terraced house - £4,200 Semi detached house -

£4,200

Detached house - £4,500 (VIABILITY STUDY)

Black Water Harvesting

Rain Water Harvesting (source: Thames Water)

• Filtering, treatment and reclamation of water from toilet flushing and washing up.

Benefits:

• Savings from occupier's water bill

Drawbacks:

• Not suitable for drinking, washing, cooking or food production.

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Unpopular concept with some domestic users

Viability in Winchester

• High maintenance costs make it only suitable for large developments.



Taps which restrict level of flow to reduce water wastage.

Benefits:

- Savings from occupier's water bill
- Cost effective and easily retrofitted.

Drawbacks:

• Can be un-installed by occupiers



Aerated Showerhead (source: WaterWise)

Spray tap (source: WaterWise)

APPENDIX ONE: WCC INTERIM POLICY ASPIRATIONS ON CLIMATE CHANGE

That new residential developments achieve Code for Sustainable Homes Level 5 for energy and water efficiency, but allowing for up to 30% of regulated emissions to be provided off-site or through a financial contribution;

- That new non-residential developments achieve at least the BREEAM 'Very Good' standard, and 'Excellent' from 2012;
- That new developments maximise energy efficiency by ensuring the highest standard of building envelope, to minimise the need for energy use;
- That the Council is supportive of schemes for the generation of renewable and decentralised energy.

APPENDIX TWO: CARBON REDUCTION MEASURES CHECKLIST

Carbon Reduction Issues	Measures employed or explanation of why not applicable for each main heading
Demand Reduction Measures	
Efficient Building Orientation	
Efficient internal layout of the building	
Natural ventilation	
Natural Lighting	
Insulation	
Renewable Energy Technologies	
Solar water heating panels	
Photo Voltaic panels	
Wind Power	
Ground Sourced Heat Pumps	
Air sourced heat pumps	
Wood fuel and biomass boilers	
Micro Combined Heat and Power	
District Heating Systems	
Water Saving Technologies	
Greywater harvesting and rainwater	
harvesting	
Black Water Harvesting	
Low – flow fittings: dual/low-flush toilets	
Low – flow fittings: spray/low-flow/self	
closing taps	

APPENDIX THREE: NATIONAL, REGIONAL & SUB-REGIONAL PLANNING POLICIES

- 1 **PPS1** Recent governments have placed sustainability at the heart of planning; 'Sustainable development is the core principle underpinning planning'. (para 3, PPS1, 2005). In recent years, the importance of addressing the components of climate change and reducing carbon use in particular, have become even more important. The 2007 Planning and Climate Change Supplement to PPS1 contains a great deal of guidance on promoting the development of renewable and low-carbon energy generation and states that authorities should 'provide a framework that promotes and encourages renewable and low-carbon energy generation' (para 19).
- 1.1 The supplement's guidance on sustainable buildings is of particular relevance. It states that planning authorities should help to achieve the national timetable for reducing carbon emissions. It also recognises that 'there will be situations where it could be appropriate for planning authorities to anticipate levels of building sustainability in advance of those set nationally' (para 31). In such cases, planning authorities must be able to clearly demonstrate the local circumstances which warrant any local requirements.
- 1.2 Local requirements should be specified 'in terms of achievement of nationally described sustainable building standards' (para 32), giving the example of expecting identified housing proposals to 'be delivered at a specific level of the Code for Sustainable Homes'. The guidance further states that where it is not considered appropriate to specify a whole Code level, they can stipulate a requirement solely in relation to the energy standard at a particular level of the Code.
- 1.3 WCC's Interim Policy Aspiration on Climate Change takes a similar approach, as it is only the requirements in respect of the energy and water efficiency elements of Code Level 5 that are sought. In terms of buildings other than housing, the Interim Policy Aspiration uses the nationally described sustainable building standard of BREEAM, and seeks 'Very good' standard up to 2012 and 'Excellent' from that date on.
- 1.4 Paragraph 33 of the Supplement to PPS1 states that any policy for local requirements should be set out in a DPD and should be evidencebased and viable. The Policy Aspiration and WCC's emerging Core Strategy policy have been developed following the Low Carbon Planning Policy Viability Study (Element Energy 2010) undertaken into the viability of requiring higher standards of Code. A further study into housing viability also considers the ability of development proposals to contribute to a range of policy aspirations by means of planning obligations or CIL. Carbon reduction measures are one of the issues considered.

- 1.5 Paragraph 42 of the PPS1 supplement outlines factors that planning authorities should consider in assessing the environmental performance of a proposed development. These include; landform, layout, building orientation, massing and landscaping to minimise energy consumption and opportunities for decentralised and renewable or low-carbon energy supply. Priority is to be given to the use of sustainable drainage systems (known as SUDS) and the potential for water harvesting and waste water recycling.
- 2 **PPS 22**: Renewable Energy (2004) promotes the increased development of renewable energy sources. It states that the opportunity for incorporating renewable energy projects should be considered in all new developments" (para. 18). It promotes the use of "solar panels, biomass heating, small scale wind turbines, photovoltaic cells and combined heat and power schemes". It states that local planning authorities may have policies that require a percentage of the energy to be used in new residential, commercial or industrial development to come from on-site renewable developments (para. 8).
- 3 **Draft National Planning Policy Framework (NPPF).** The draft NPPF published in July 2011 confirms the role of planning in reducing carbon emissions. It states that 'planning should fully support the transition to a low carbon economy in a changing climate' (para 148). Requirements for sustainable buildings should be 'consistent with the Government's zero carbon buildings policy and adopt nationally described standards' (para 150). The NPFF is still in consultation at the moment and the details may be subject to change.

4 Regional Policy – South East Plan (SEP) July 2009

- 4.1 The SEP contains many policies and targets directly and indirectly related to sustainable development including; sustainable building design and construction, resource use, water resources, energy efficiency, renewable energy generation and waste.
- 4.2 'CC4: Sustainable Design and Construction' states that all new buildings should be designed to secure high standards of sustainable development including energy, water efficiency and biodiversity gain. Buildings should be designed to increase the use of natural lighting, heat and ventilation, and for a proportion of the energy supply to be secured from decentralised and renewable or low-carbon sources. SEP CC4 also states that it may be appropriate for local planning authorities to anticipate levels of building sustainability in advance of those set out nationally, mainly for specific development areas or site-specific opportunities. When proposing any local requirements for sustainable buildings, local planning authorities must be able to demonstrate clearly the local circumstances that warrant and allow this and set them out in development plan documents, reflecting the advice in PPS1.

- 4.3 'NRM1: Sustainable Water Resources and Groundwater' outlines measures for conserving water supplies and includes 'identify any circumstances under which new development will need to be supported by water efficiency standards exceeding extant Building Regulations standards'.
- 4.4 SEP policies NRM11 NRM16 deal with aspects of energy efficiency and renewable energy and set regional targets. 'NRM11: Development Design for Energy Efficiency and Renewable Energy' requires local planning authorities to set 'ambitious but viable' proportions of energy supply for new development to come from decentralised and renewable or low-carbon energy sources. In advance of these being set in DPDs, the SEP has an interim target of 10%.
- 4.5 WCC's 'Low Carbon Planning Policy Viability Study' has concluded that developments that would comply with the requirements of the revised Core Strategy policy would likely to incorporate sufficient renewable automatically supply at least 20% of energy on-site, without further investment in generating technologies. As this represents a beneficial option for reducing overall carbon emissions, it is considered that this is preferable to setting specific targets for renewable energy generation, which in any case, is likely to be superfluous.
- 4.6 The government has announced its intention to abolish Regional Spatial Strategies, including the South East Plan. The government timetable is for the RSS to be revoked by spring 2012 and legislation has been drafted to facilitate this.

5 Sub-Regional Guidance - Planning for Urban South Hampshire (PUSH)

- 5.1 On 18th March 2008, the PUSH Joint Committee adopted the Push Sustainability Policy Framework and agreed that all LDFs within the PUSH area should include policies to deliver a number of principles in relation to sustainable development
- 5.2 These included that developments should contribute to the delivery of renewable energy and carbon neutrality in the authority. Where developments are part of a major area of development, they should either link to existing or produce their own local renewable energy. They should also maximize resource efficiency opportunities. Districts were to set their own targets for new installations of renewable energy.
- 5.3 Developments should meet the following CfSH threshold level, and equivalents for non-residential development set out below:

Residential devel	opment	Multi and non-residential dev't	
Code for Sustaina	adie	(>500sqm floorspace) BREEAM	
Homes level requirement		standard requirement	
Until end 2011	3	BREEAM 'very good'	
From 2012	4	BREEAM 'excellent'	

5.4 Although the SEP is likely to be abolished soon, the PUSH guidelines have been agreed by the authorities in the relevant areas and have been subject to the appropriate legislative processes. The guidelines are therefore likely to be given some degree of weight in planning decisions, until superseded by Core Strategy policies.